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Application Number 10/782.098 TRANSMITTAL Filing Date February 19, 2004 **FORM** First Named Inventor Carmen Flosbach (to be used for all correspondence after initial filing) **Group Art Unit** Unknown **Examiner Name** Unknown Total Number of Pages in This Submission 50 Attorney Docket Number **FA1224 US NA** ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication ☐ Fee Transmittal Form (for an Application) to Group Fee Attached ☐ Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Appeal Communication to Group Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final ☐ Petition Proprietary Information ☐ Affidavits/declaration(s) Petition to Convert to a Extension of Time Request Status Letter Provisional Application Other Enclosure(s) (please Power of Attorney, Revocation Express Abandonment Request Change of Correspondence Address identify below): Forms PTO/SB/08A and Supplemental Information ☐ Terminal Disclaimer PTO/SB/08B (With Copies of Disclosure Statement Foreign References and PCT International Search Report with Written Opinion) Certified Copy of Priority Request for Refund Document(s) · Certificate of Mailing Receipt Card Response to Missing Parts/ CD, Number of CD(s) Incomplete Application Response to Missing Remarks Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Hilmar L. Fricke (Registration No. 22,384) Individual name Signature Date June 22, 2005 **CERTIFICATE OF MAILING**

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Ellen M. Godfrey

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Date

June 22, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carmen Flosbach et al.

APPLICATION NO: 10/782,098

FILED: February 19, 2004

FOR: PROCESS FOR THE PRODUCTION

OF POLYURETHANE DI(METH)ACRYLATES

GROUP ART UNIT: Unknown

EXAMINER: Unknown

ATTY. DOCKET NO: FA1224 US NA

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE MAILING DATE OF A FIRST OFFICE ACTION ON THE MERITS (37 CFR § 1.97(b)(3))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO/SB/08A and PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. This statement is being filed within the time period specified in 37 CFR §1.97(b)(3). Applicant believes that no fee is required.

Applicant submits herewith Forms PTO/SB/08A and PTO/SB/08B identifying in the PCT International Search Report and Written Opinion of the International Searching Authority for Attorney Docket No. FA1224 PCT (International Application No. PCT/US 2005/005577) mailed June 6, 2005:

US 2003/0134125 Facke et al.

WO 2001/25359 BASF Aktiengesellschart (English equivalent US6825241)
Copy of the FA1224 PCT International Search Report with Written Opinion

The Examiner is requested to consider and to make record herein of all the references cited by or to the Office in connection with the listed applications. In accordance with MPEP 609 (III)(A)(2) (pursuant to USPTO OG Notices of August 05, 2003 stating that "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003"), Applicant has not

Application No. 10/782,098 Attorney Docket FA1224 US NA

included copies of the U.S. Patents listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B, and to indicate in the official file wrapper of this patent application that the documents have been considered.

As this information is being submitted prior to the issuance of a First Office Action, Applicant respectfully submits that in accordance with 35 U.S.C § 1.97 (b)(3) neither a fee, nor a statement is currently due. However, if there is a fee due which is unaccounted for, please charge such fee to Deposit Account No. 04-1928 (E.I. du Pont de Nemours and Company).

Respectfully submitted,

Hilmar L. Fricke

Attorney for Applicant

Reg. No.: 22,384

Telephone: (302) 984-6058 Facsimile: (302) 658-1192

Dated: June 22, 2005



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Ellen M. Godfrey

Type or printed name of person signing Certificate

Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Application No.: 10/782,098 Filing Date: February 19, 2004

First Named Inventor: Carmen Flosbach

Title: Process for the Production of Polyurethane Di(Meth)Acrylates

Attorney Docket: FA1224 US NA

Transmittal Form

Note:

Supplemental Information Disclosure Statement

Forms PTO/SB/08A and PTO/SB/08B (With Copies of Foreign References and PCT International Search Report with Written Opinion)

International Search Report with Written Opinion)

Receipt Card

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

(Use as many sheets as necessary)

 Complete if Known

 Application Number
 10/782,098

 Filling Date
 FEBRUARY 19, 2004

 First Named Inventor
 CARMEN FLOSBACH

 Art Unit
 TBD

 Examiner Name
 TBD

 Attorney Docket Number
 FA1224 US NA

| Examiner | Cito | Document Number | Publication Date | T DOCUMENTS Name of Patentee or | Pages, Columns, Lines, Where |
|--------------|--------------|---|------------------|----------------------------------|---|
| Initials* | Cite No.1 | Number-Kind Code ^{2 (f known)} | MM-DD-YYYY | Applicant of Cited Document | Relevant Passages or Relevant Figures Appear |
| | | ^{US-} 6825241 | 11-30-2004 | Blum et al. | See WO 0125359 |
| - | | ^{US-} 2003/0134125 A1 | 07-17-2003 | Facke et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------|---|---------------------|--|---|----------------|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | Г |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | T ⁶ |
| | | WO 01/25359 A1 (Abstract) | 12-05-2001 | BASF Coatings | See US6825241 | |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|-----------------------------------|------------------------|-------------------|--|
| | Application Number | 10/782,098 | |
| INFORMATION DISCLOSURE | Filing Date | February 19, 2004 | |
| STATEMENT BY APPLICANT | First Named Inventor | Carmen Flosbach | |
| (Use as many sheets as necessary) | Art Unit | TBD | |
| (est as many sheets as necessary) | Examiner Name | TBD | |
| Sheet 2 of 2 | Attorney Docket Number | FA1224 US NA | |

| | | NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|---|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | | The International Search Report and Written Opinion of the International Searching Authority, Application No. PCT/US2005/005577, Mailed: 06/06/2005. | |
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